# Transformers from the Ground Up

Sebastian Raschka https://sebastianraschka.com

### PyData Jeddah August 5th, 2021





The latest from Google Research

### Recent Advances in Google Translate

Monday, June 8, 2020

Posted by Isaac Caswell and Bowen Liang, Software Engineers, Google Research



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### https://ai.googleblog.com/2020/06/recent-advances-in-google-translate.html





Edited by David T. Jones, University College London, London, United Kingdom, and accepted by Editorial Board



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### https://www.pnas.org/content/118/15/e2016239118.short





### Your Al pair programmer

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### https://github.blog/2021-06-29-introducing-github-copilot-ai-pair-programmer/



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### Topics

- 1. Augmenting RNNs with attention
- 2. Self-attention
- 3. The original transformer architecture
- 4. Large-scale language models
- 5. Fine-tuning a pre-trained BERT model in PyTorch
- 6. Quo vadis, transformers?





## Think of this talk as a conceptual overview

### That may help to navigate the transformer jungle if you are interested

Please don't worry so much about the mathematical or conceptual details in this talk. These details would take many, many hours to talk about and digest.



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## Topics

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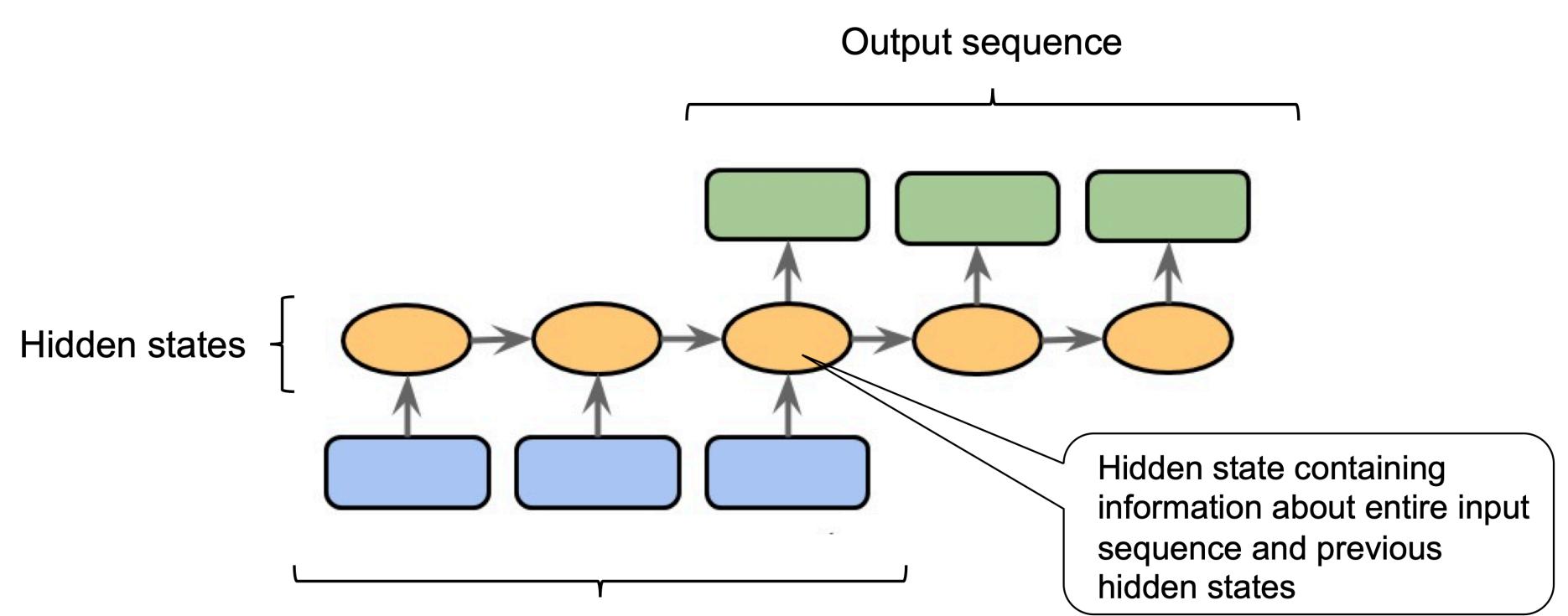




## Regular encoder-decoder RNN for seq2seq tasks

RNN = Recurrent neural network

Seq2seq = sequence-to-sequence (e.g., translation, summarization, ...)



### Input sequence

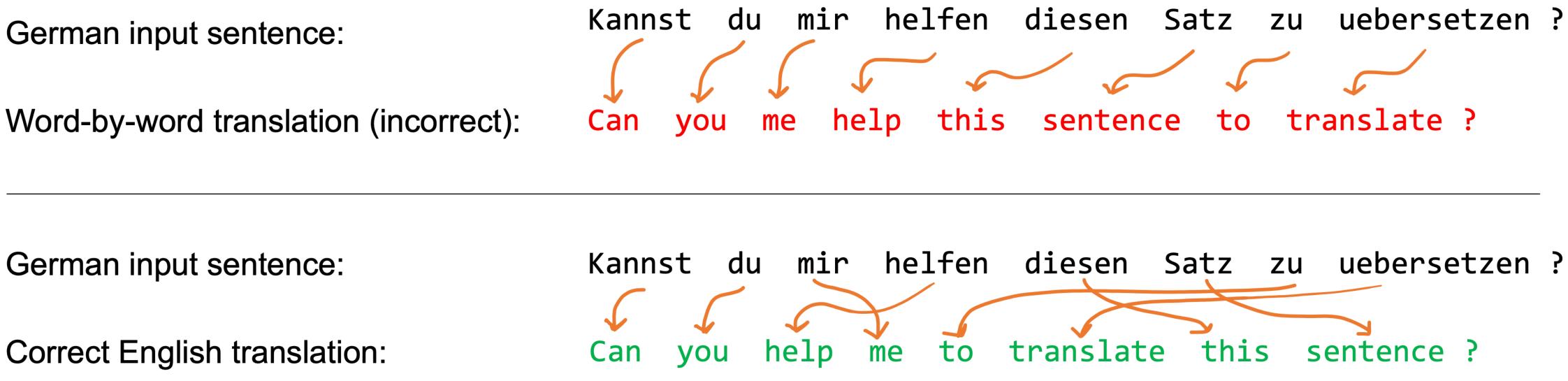


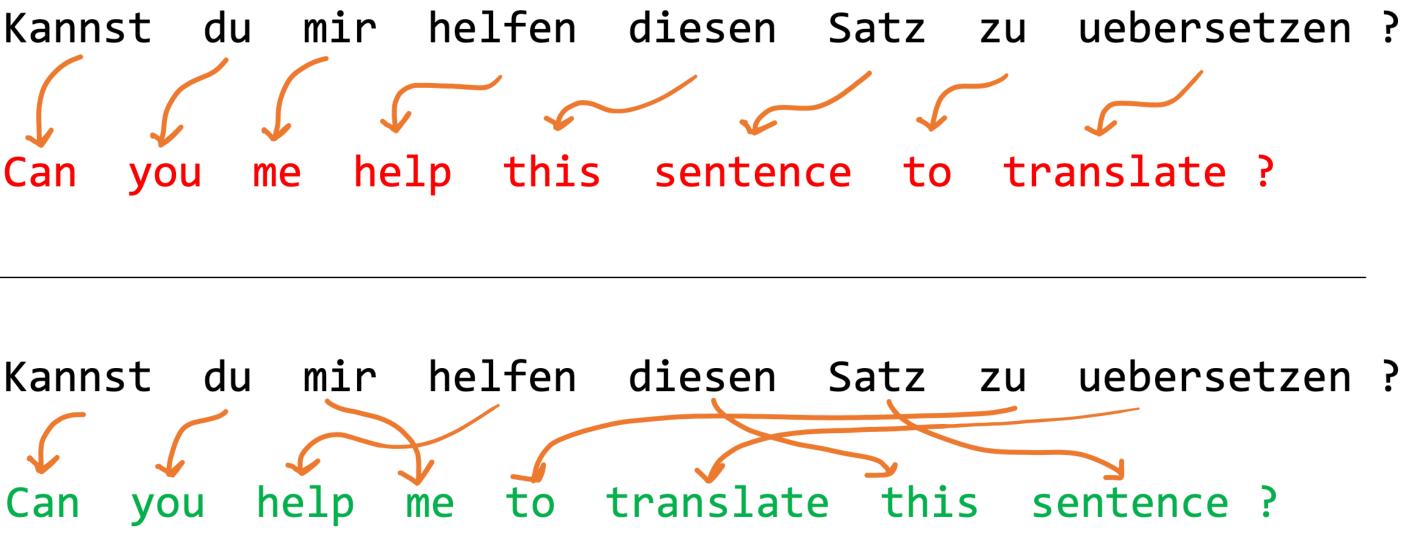
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## Why parsing all the input before attempting translation?

Because we can't just translate word by word







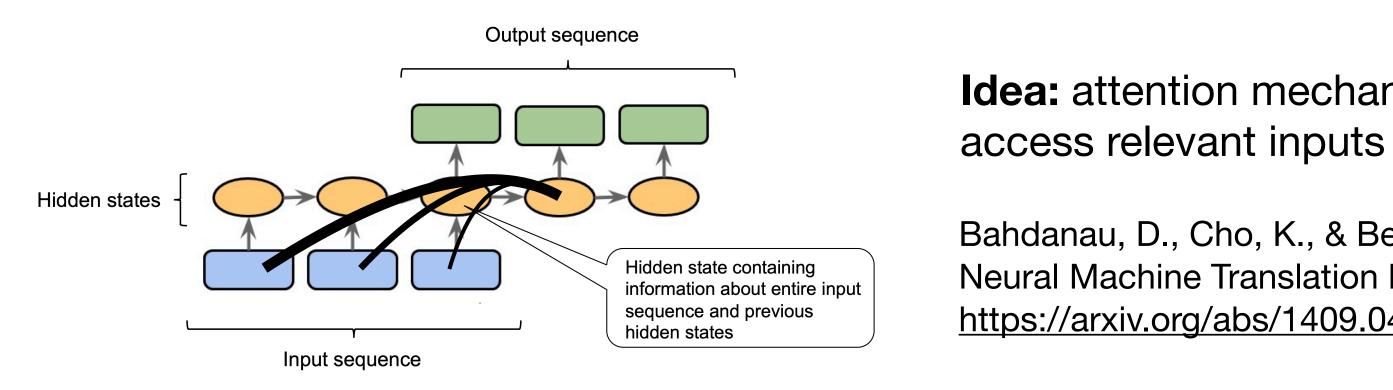
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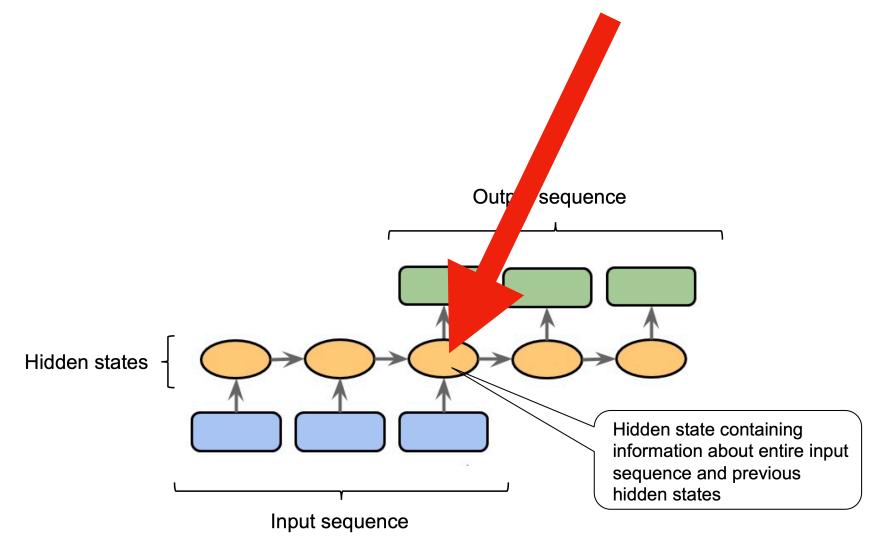
## **Problem: RNN has to remember a lot in 1 hidden state**

How can we deal with long-range dependencies better and improve language translation?





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**Idea:** attention mechanism that lets each decoder step

Bahdanau, D., Cho, K., & Bengio, Y. (2014). Neural Machine Translation by Jointly Learning to Align and Translate https://arxiv.org/abs/1409.0473

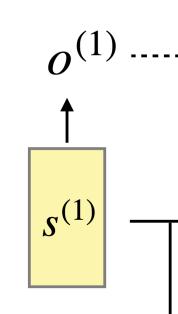
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## **An RNN with attention mechanism**

Output sequence:

Hidden states (with context information):



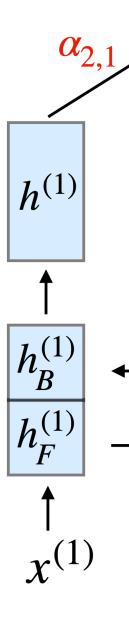
Context vector:

Attention weights:

Concatenated hidden states:

Hidden states from • reverse direction:

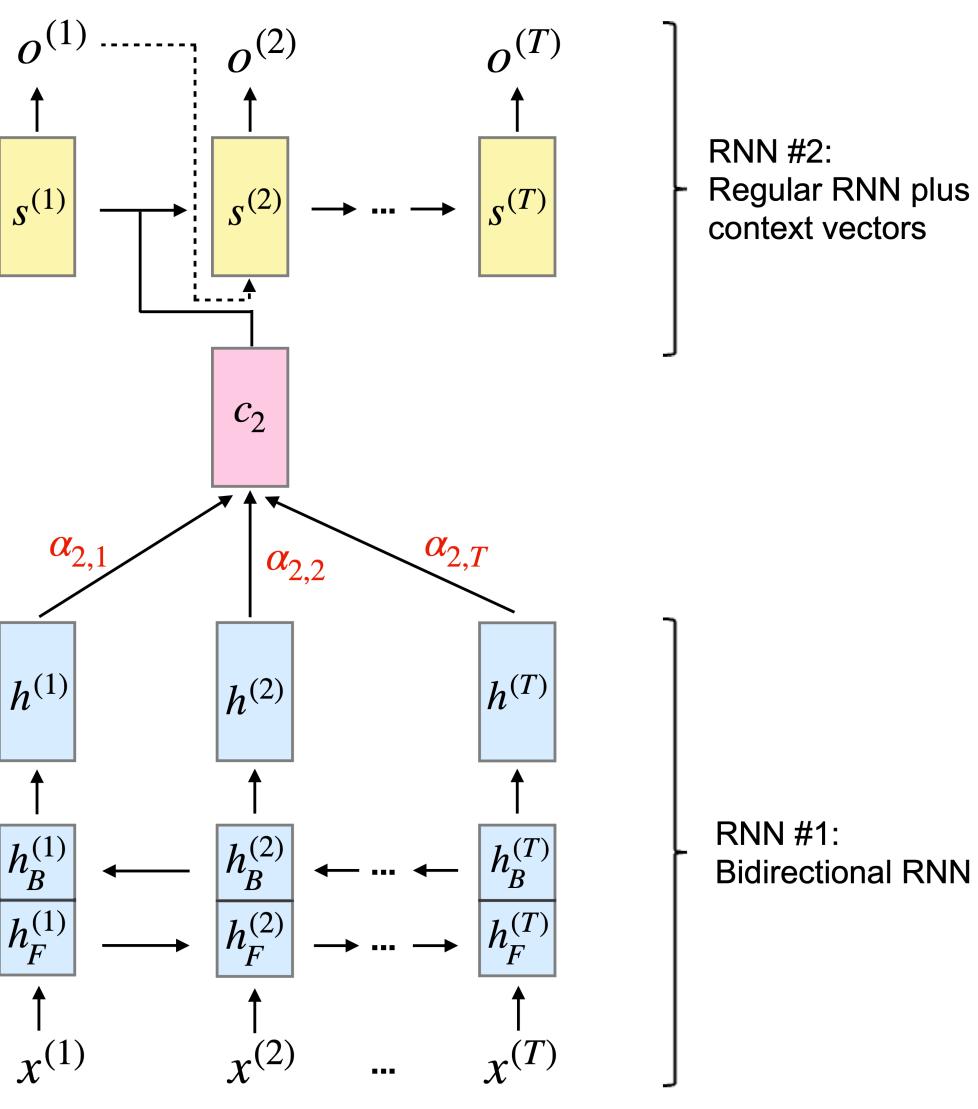
• forward direction:



Input sequence:



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## Topics

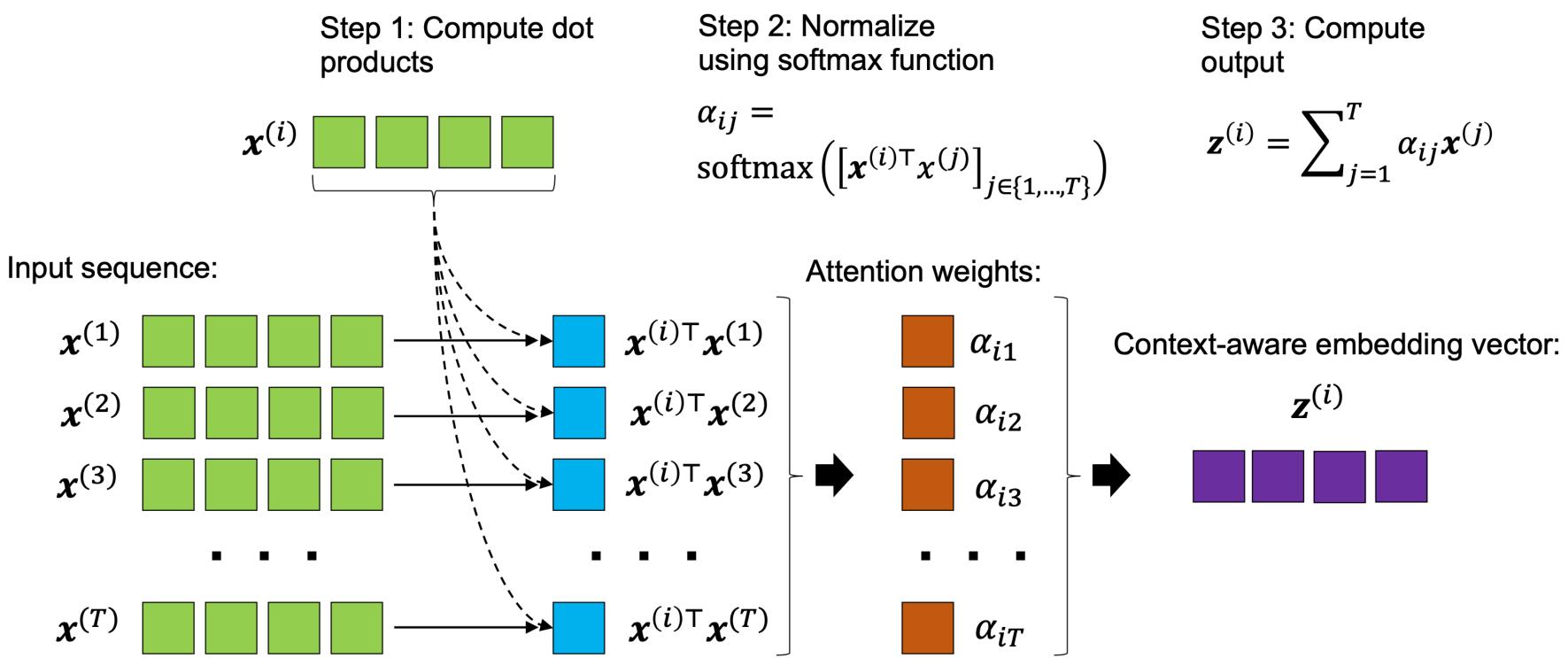
1. Augmenting RNNs with attention

### 2. Self-attention

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\*Minor detail: "self"-attention, because in the attention-based RNN, attention weights are derived from the connection between input & output elements, while self-attention mechanism only focuses on the inputs





### A simple form of self-attention\*

$$\mathbf{z}^{(i)} = \sum_{j=1}^{T} \alpha_{ij} \mathbf{x}^{(j)}$$

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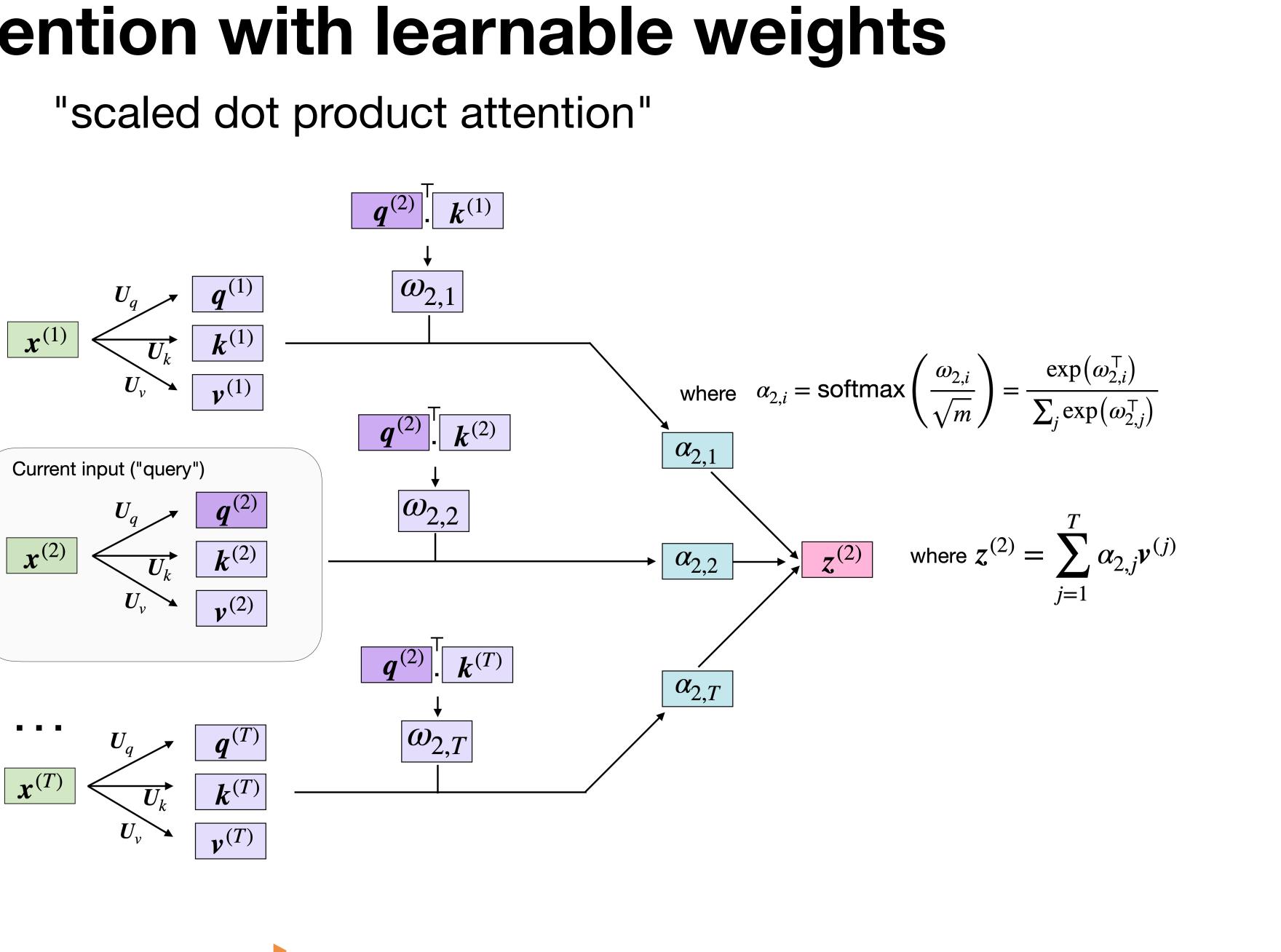
### Self-attention with learnable weights

Where we have three weight matrices  $U_q, U_k, U_v$ 

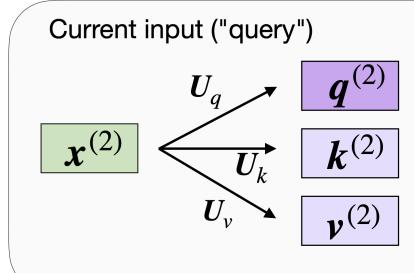
• Query: 
$$oldsymbol{q}^{(i)} = oldsymbol{U}_q oldsymbol{x}^{(i)}$$

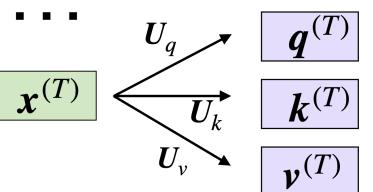
• Key:  $\boldsymbol{k}^{(i)} = \boldsymbol{U}_k \boldsymbol{x}^{(i)}$ 

• Value: 
$$\boldsymbol{v}^{(i)} = \boldsymbol{U}_{v} \boldsymbol{x}^{(i)}$$



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## Topics

- 1. Augmenting RNNs with attention
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### **3. The original transformer architecture**

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## **Attention Is All You Need**

by A. Vaswani and colleagues (2017), https://arxiv.org/abs/1706.03762

- A deep learning architecture for language translation centered around self-attention
- Without any RNN parts

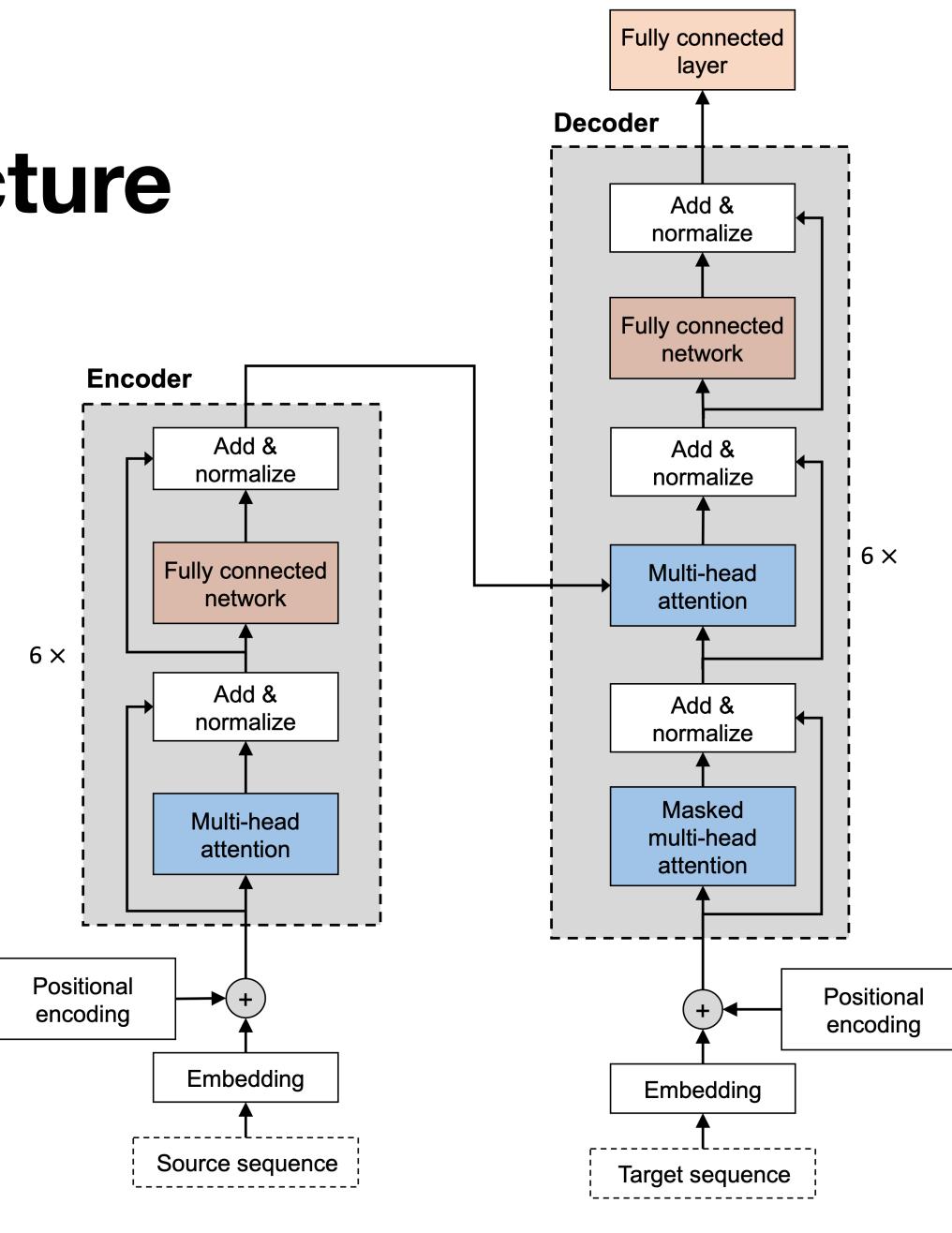


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# The original transformer architecture

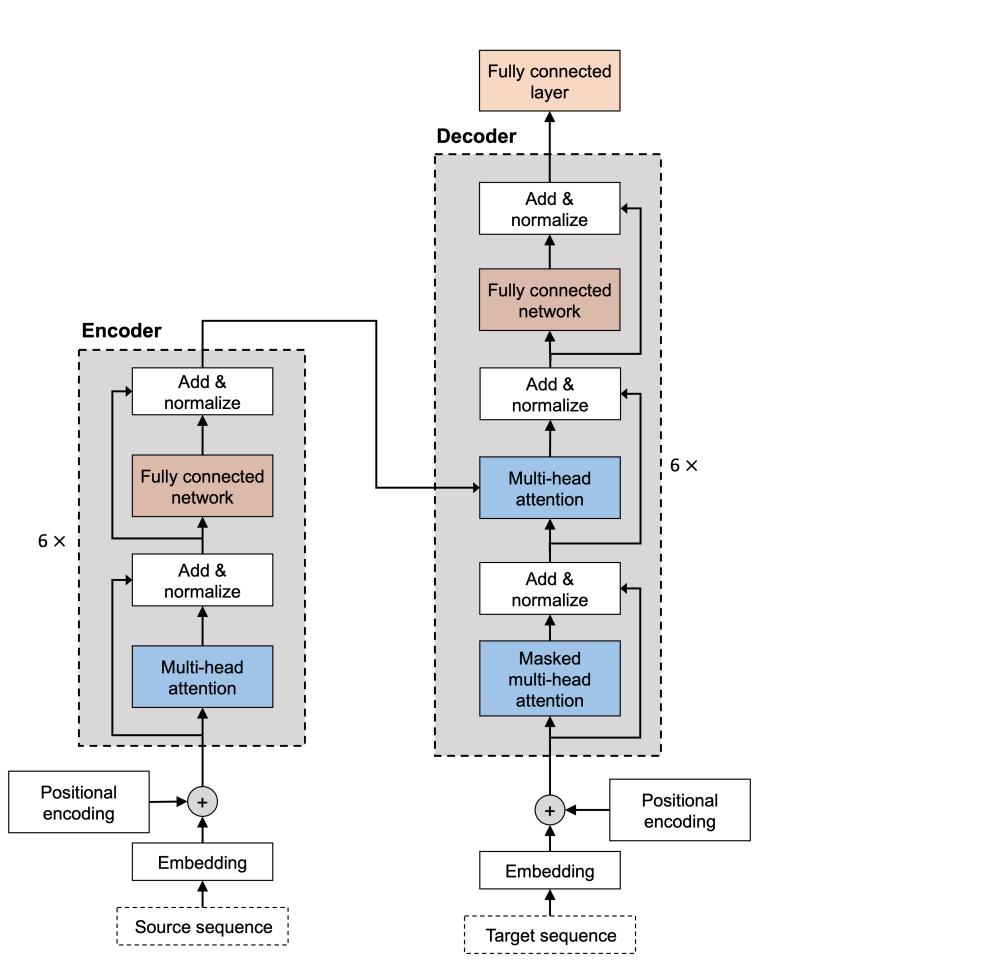




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## What is multi-head attention?



Multiple matrices U to stack the following multiple time (like kernels/ channels in a CNN):

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- Query:  $q^{(i)} = U_q x^{(i)}$  Key:  $k^{(i)} = U_k x^{(i)}$
- Value:  $\boldsymbol{v}^{(i)} = \boldsymbol{U}_{v} \boldsymbol{x}^{(i)}$

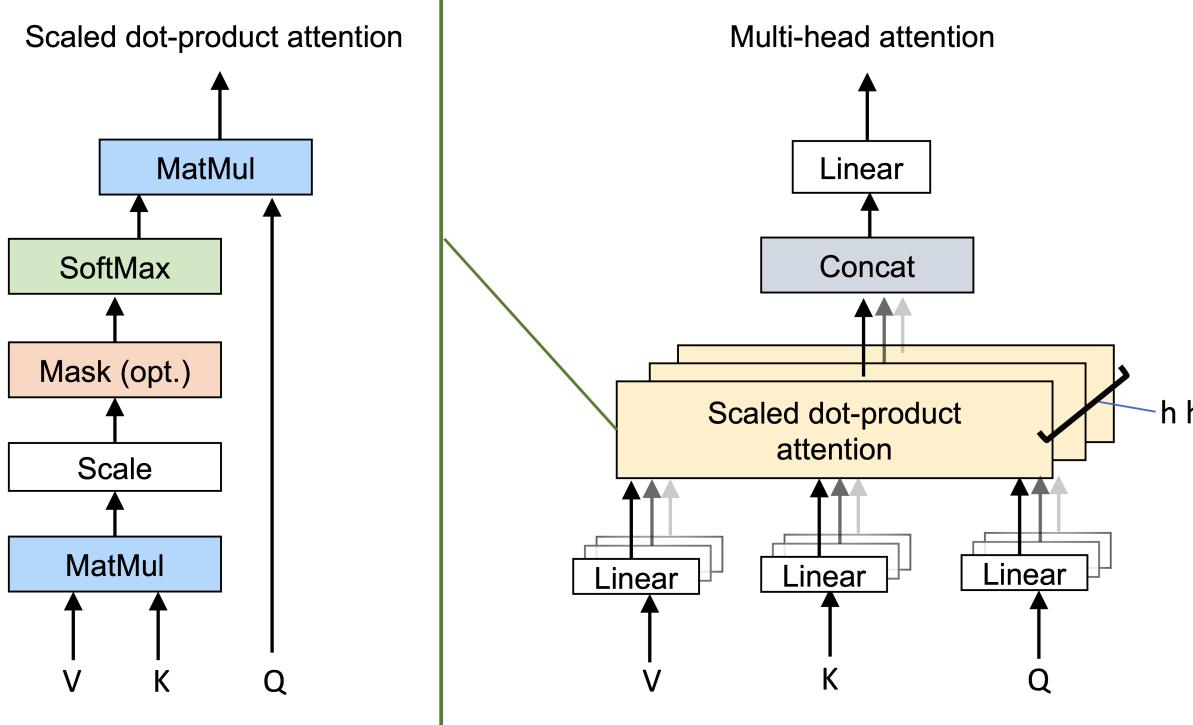
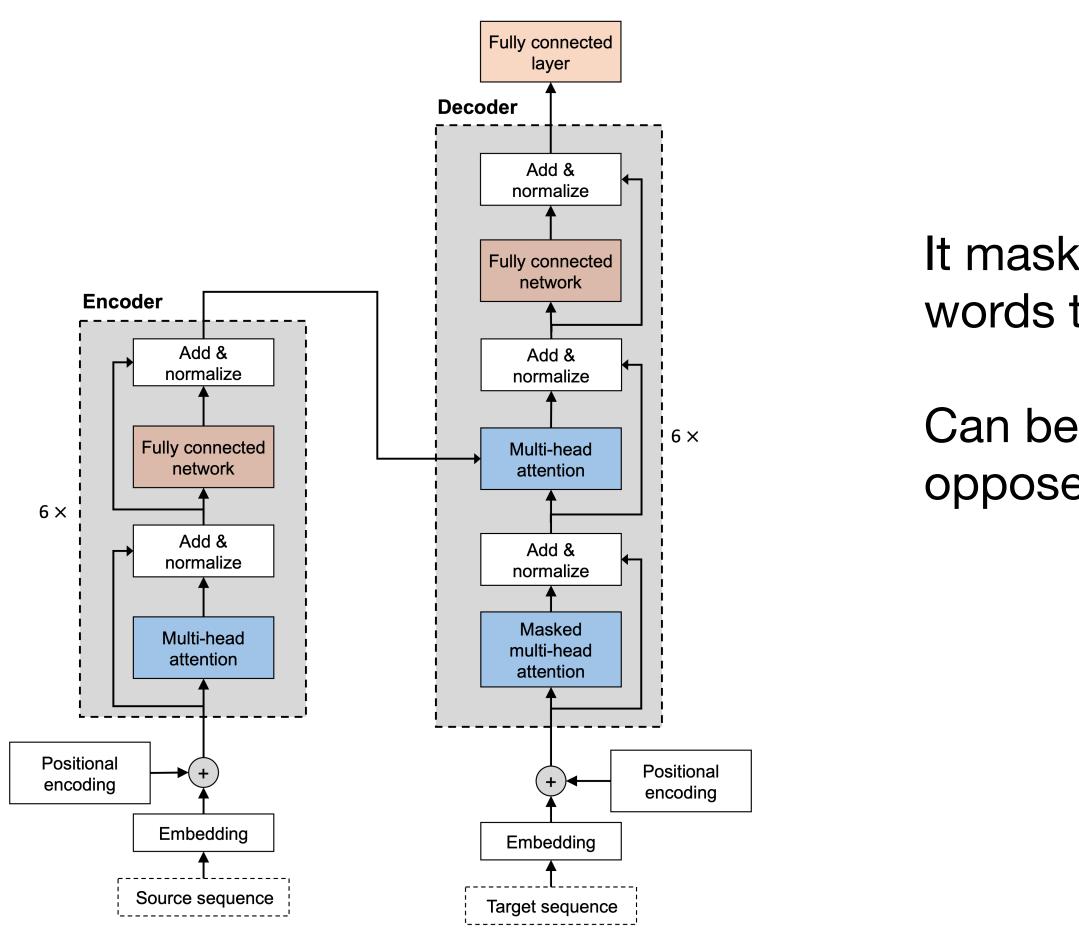


Image credit: Jitian Zhao

h heads



### What is "masked" multi-head attention?





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It masks out words after the current position (those words that the decoder still has to generate)

Can be considered as a form of unidirectional (as opposed to bidirectional) parsing

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## **Focus on 2 popular models/approaches:**

Common theme among most transformers:

1. Pre-training on very large, unlabeled datasets 2. Then fine-tuning on labeled dataset for respective target tasks



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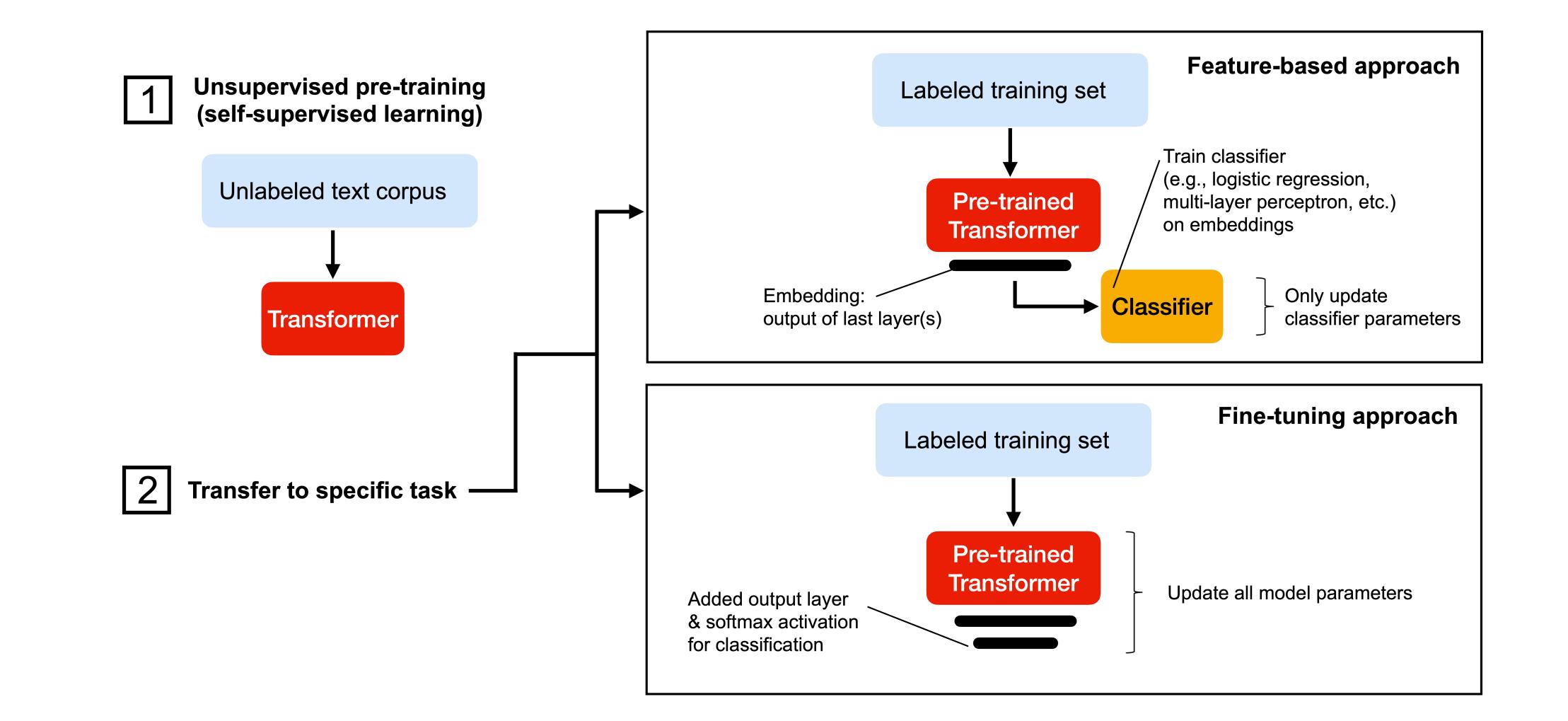
### GPT (unidirectional) -> good for generating text BERT (bidirectional) -> good for prediction (classification)







## **Training transformers: a 2-step approach**





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### **GPT: Generative Pretrained Transformer**

Model	Release year	Number of parameters
GPT-1	2018	110 million
GPT-2	2019	1.5 billion
GPT-3	2020	175 billion



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### Title

### Manuscript link

https://www.cs.ubc.ca/ ~amuham01/LING530/ Understanding by Generative papers/ radford2018improving.pdf

Language Models are Unsupervised Multitask Learners

Improving Language

Pre-Training

### <u> https://</u>

www.semanticscholar.org/ paper/Language-Models-are-Unsupervised-Multitask-Learners-Radford-Wu/ 9405cc0d6169988371b2755 e573cc28650d14dfe

### Language Models are Few-Shot Learners

https://arxiv.org/pdf/ 2005.14165.pdf





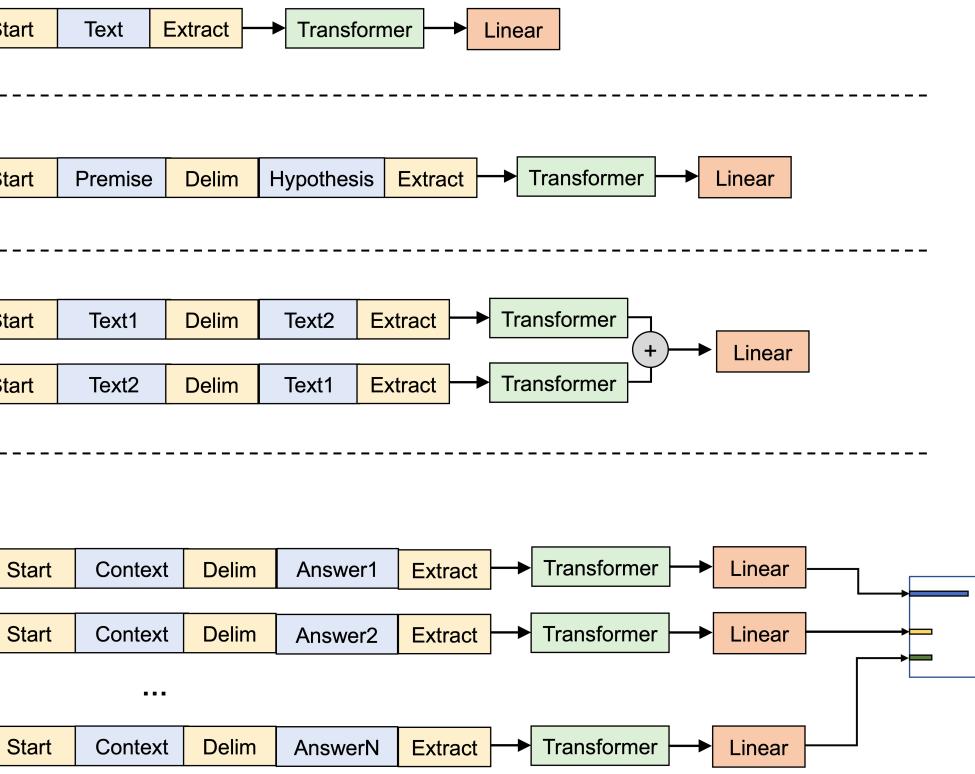
### Decoder Additional layer(s) for fine-tuning Pre-trained model Text Task Classification Start Text classifier Prediction Entailment Start Delim Premise Layer Norm Delim Start Text2 Text1 Feed Forward Similarity Delim Text1 Text2 Start i 12 × -Layer Norm Delim Context Masked multi-Start Answer1 head attention Delim Start Context Answer2 **Multiple choice** ... Positional Start Context Delim encoding AnswerN Text embedding

Unidirectional language model: next word prediction



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### GPT-1



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### **GPT-2: Zero-shot learning**

### Examples are provided via context (no fine-tuning), e.g.,



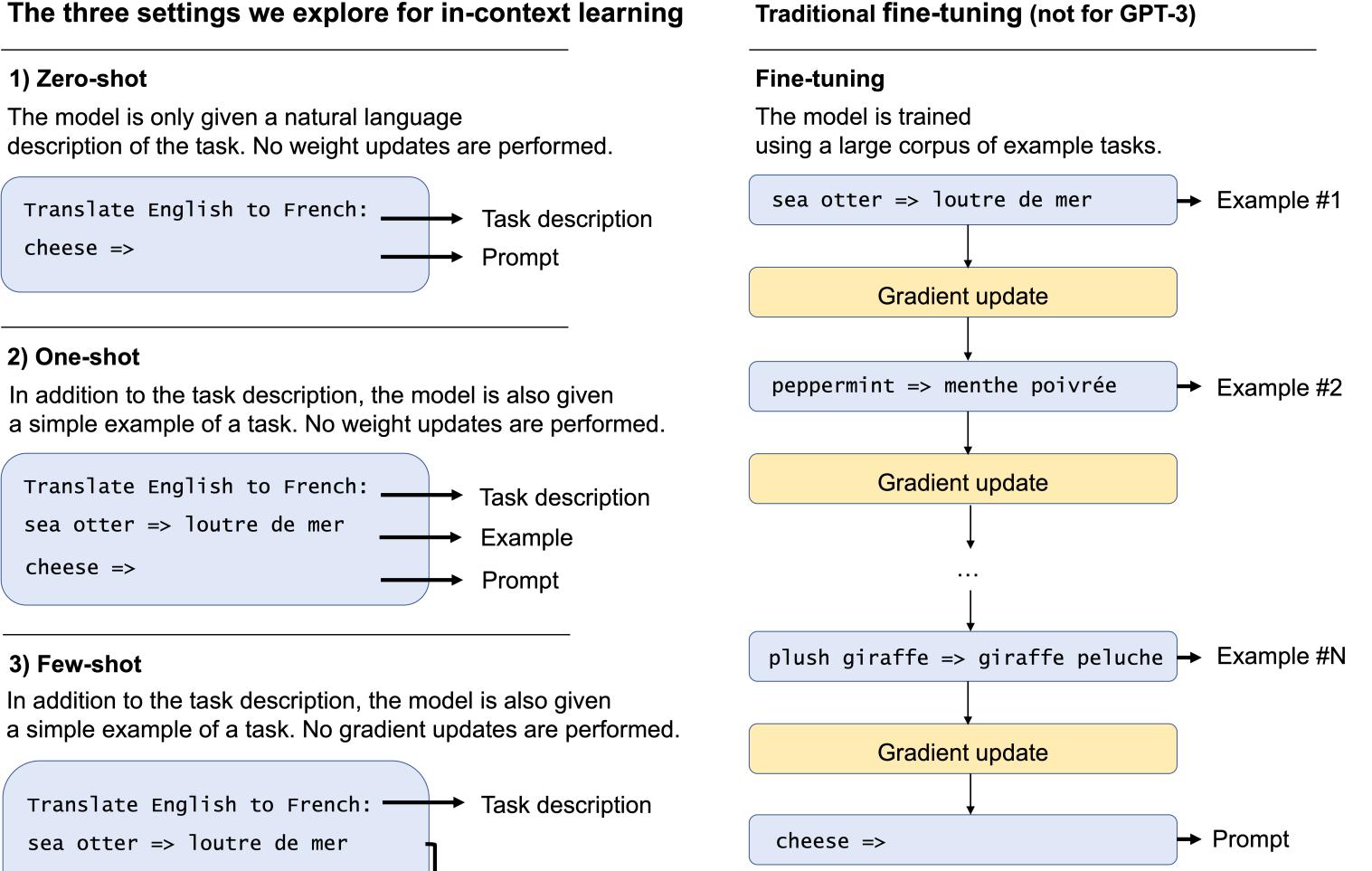
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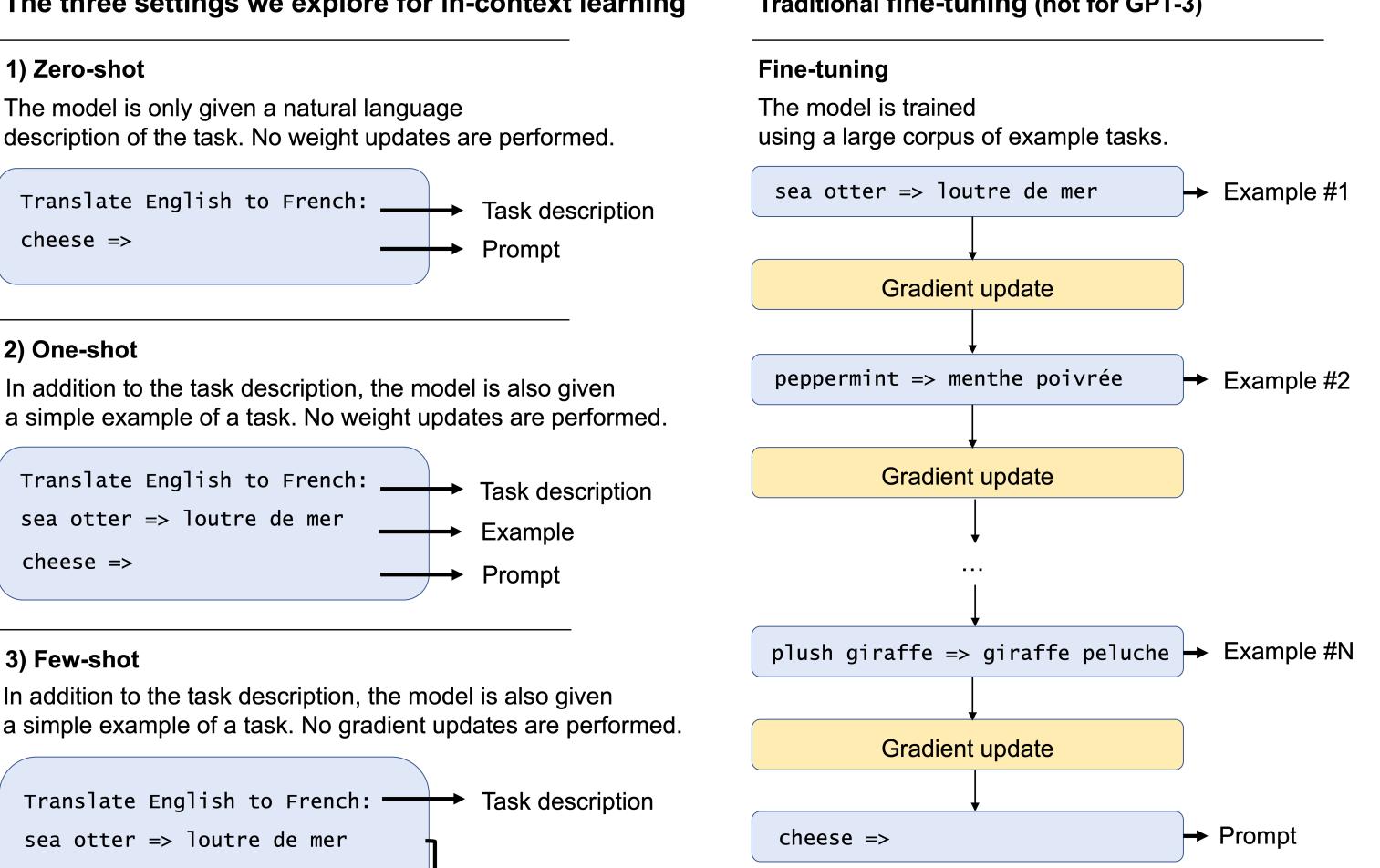
"translate to french, english text, french text"



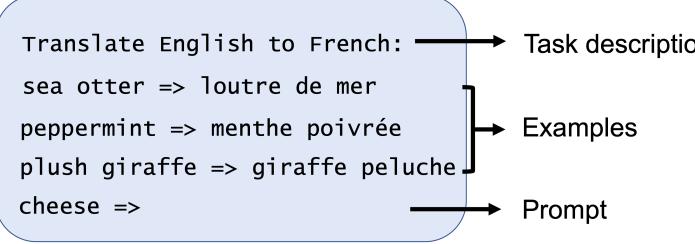
## **GPT-3: Zero- and few-shot learning**

### The three settings we explore for in-context learning





In addition to the task description, the model is also given





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## **Bidirectional Encoder Representations** from Transformers: **BERT**

BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding (2018), J. Devlin, M. Chang, K. Lee and K. Toutanova, https://arxiv.org/abs/1810.04805

featuring a bidirectional ("nondirectional") training as it reads all elements at once (as opposed to word by word, left to right)



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## **BERT's Pre-training task 1/2:** Masked Language Model

15% of the words are masked (or "marked") and are treated as follows ...

Input sentence: A quick brown fox jumps over a lazy dog.

80% Mask token: replace fox with [MASK]

Output sentence:  $\dashv$ 

\_ 10% Unchanged: keep fox



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10% Random token: replace fox with coffee





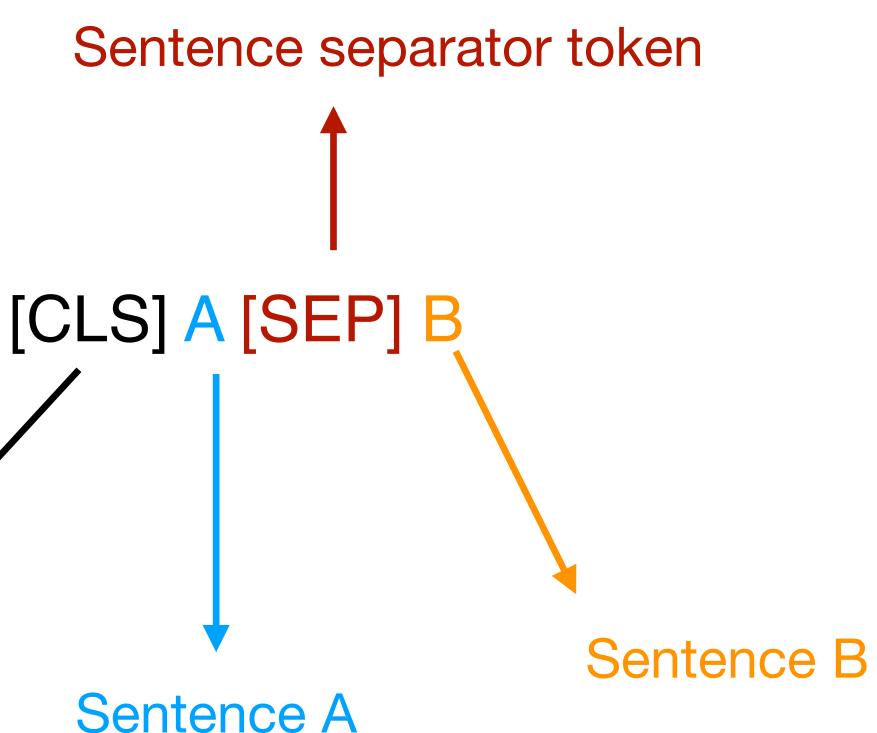
## **BERT's Pre-training task 2/2: Next sentence prediction**

Classification token: IsNext, NotNext





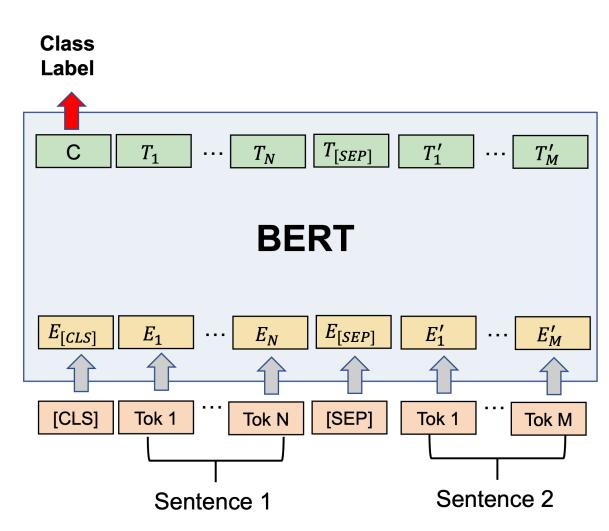
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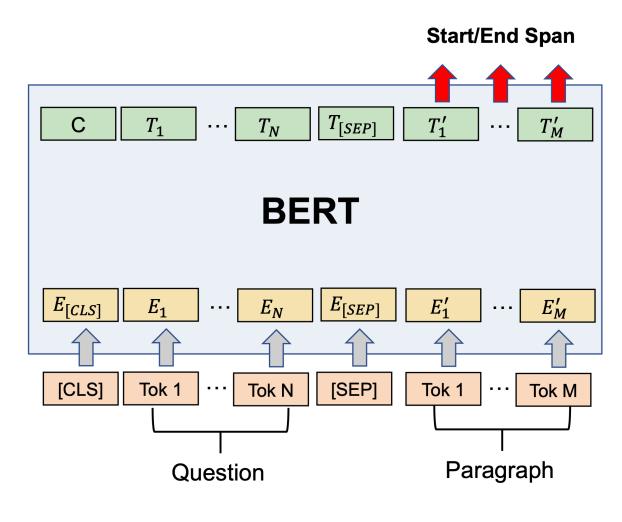




## **BERT Fine-tuning tasks**



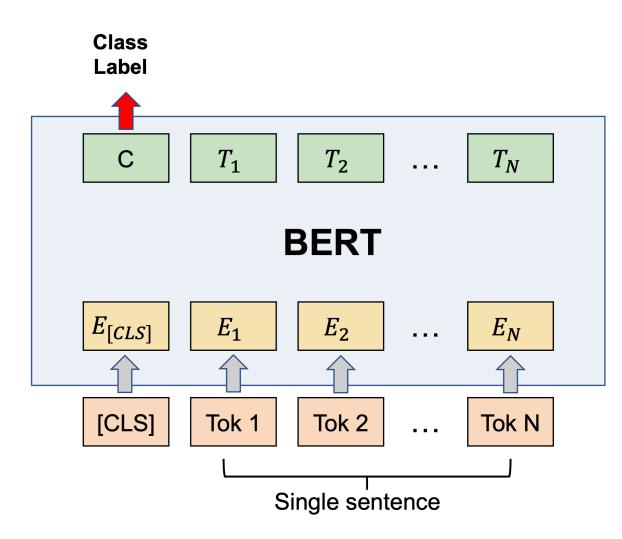
(a) Sentence pair classification tasks



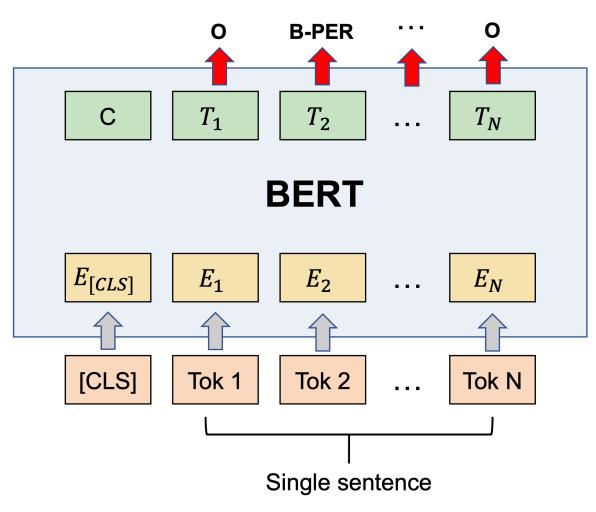
(c) Question answering tasks



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### (b) Single sentence classification tasks



(d) Single sentence tagging tasks



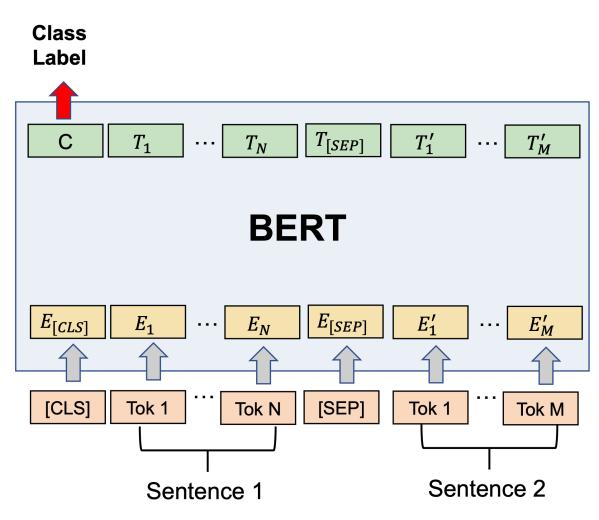
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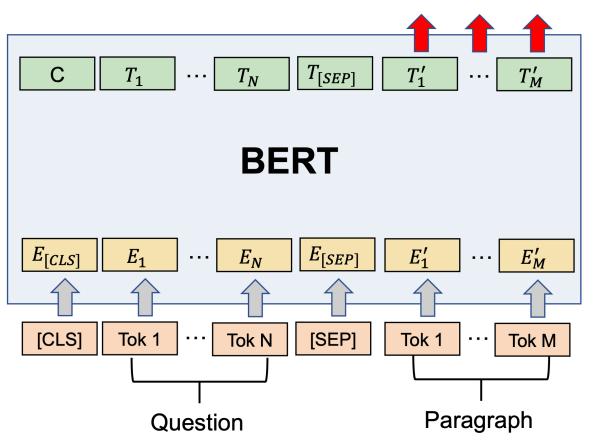


## **Fine-tuning BERT**

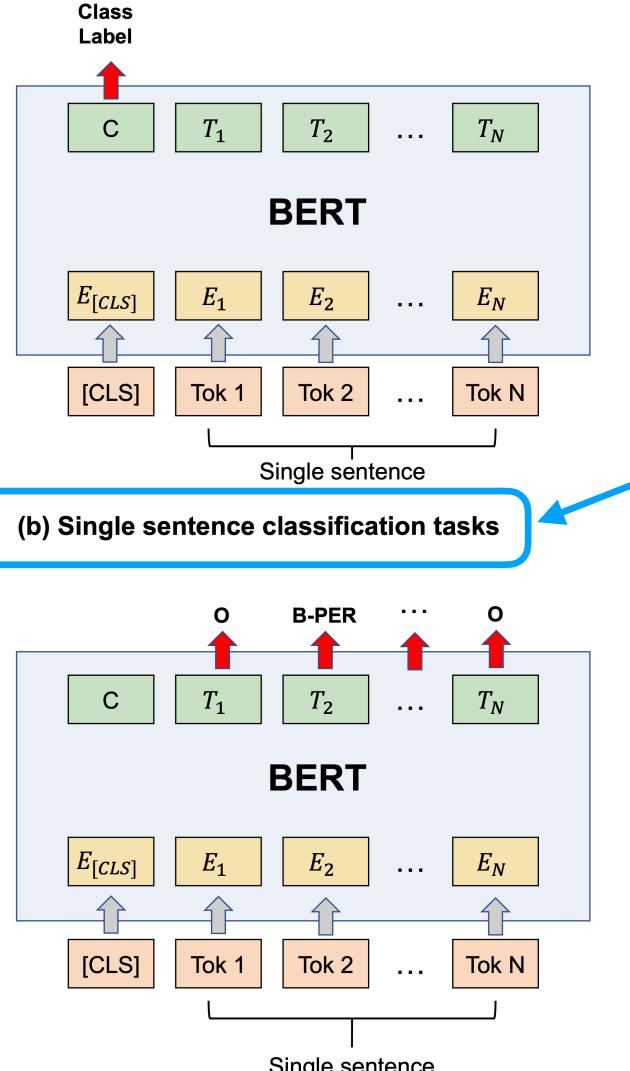


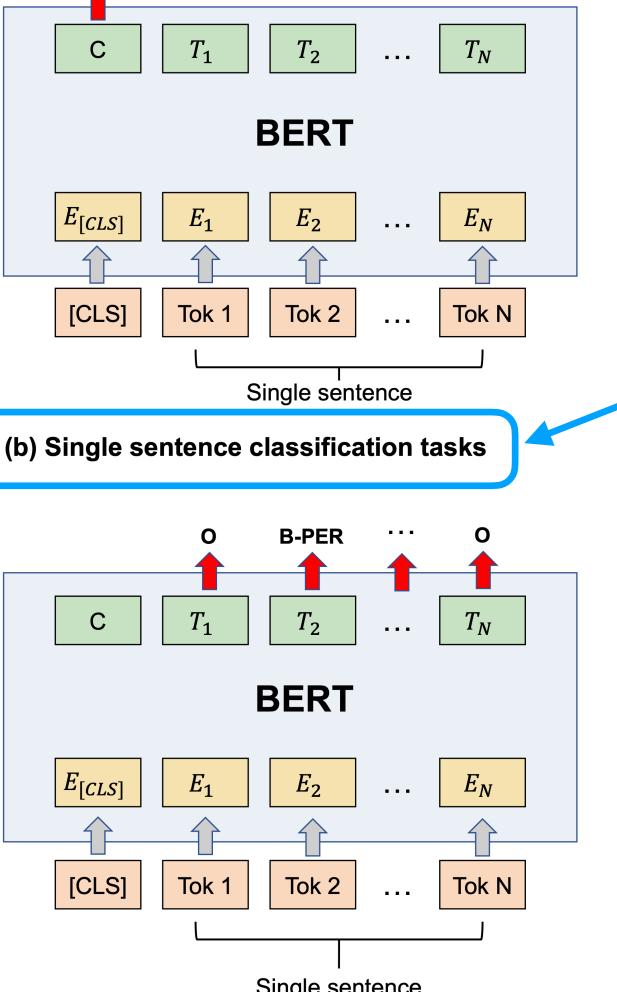
(a) Sentence pair classification tasks





(c) Question answering tasks





Single sentence

### (d) Single sentence tagging tasks



### Multiple sentences can be concatenated (512 token limit)







### Large Movie Review Dataset

This is a dataset for binary sentiment classification containing substantially more data than previous benchmark datasets. We provide a set of 25,000 highly polar movie reviews for training, and 25,000 for testing. There is additional unlabeled data for use as well. Raw text and already processed bag of words formats are provided. See the README file contained in the release for more details.

Large Movie Review Dataset v1.0

When using this dataset, please cite our ACL 2011 paper [bib].

For comments or questions on the dataset please contact <u>Andrew Maas</u>. As you publish papers using the dataset please notify us so we can post a link on this page.

### **Publications Using the Dataset**

Andrew L. Maas, Raymond E. Daly, Peter T. Pham, Dan Huang, Andrew Y. Ng, and Christopher Potts. (2011). Learning Word Vectors for Sentiment Analysis. The 49th Annual Meeting of the Association for Computational Linguistics (ACL 2011).

https://ai.stanford.edu/~amaas/data/sentiment/



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### Contact



### Code notebook: <u>https://github.com/rasbt/2021-pydata-jeddah</u>



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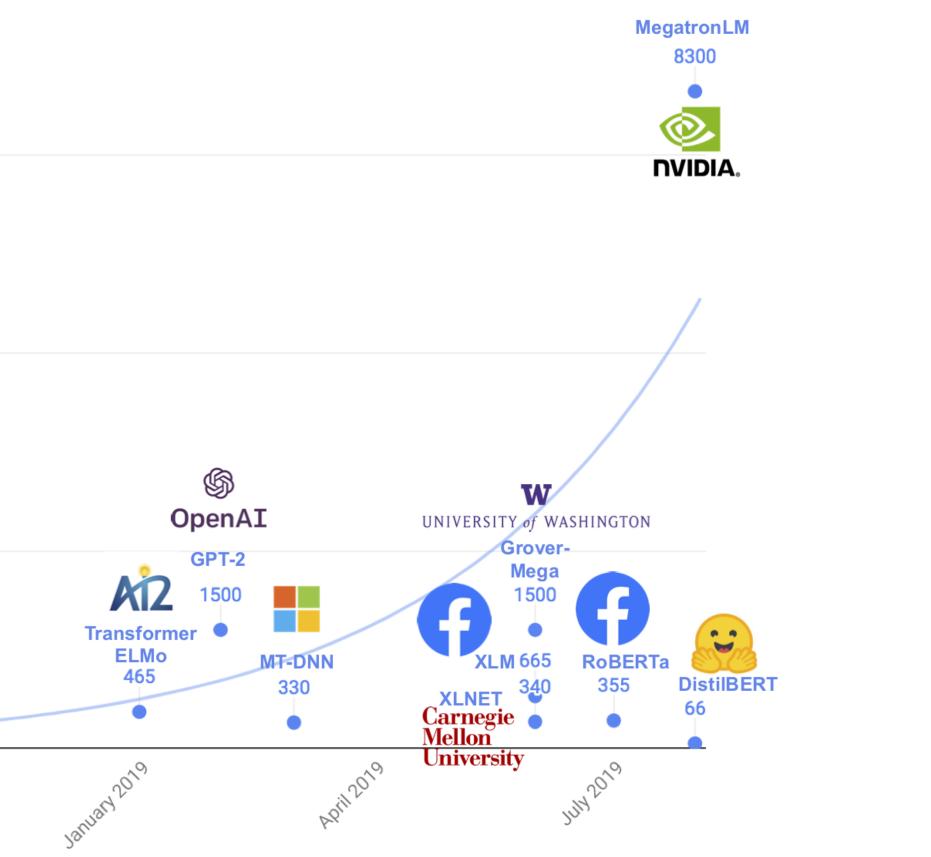
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Image Source: <a href="https://medium.com/huggingface/distilbert-8cf3380435b5">https://medium.com/huggingface/distilbert-8cf3380435b5</a>



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### GPT-3 (175 billion)





### THE COST OF TRAINING NLP MODELS A CONCISE OVERVIEW

Or Sharir AI21 Labs ors@ai21.com

Barak Peleg AI21 Labs barakp@ai21.com



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Yoav Shoham AI21 Labs yoavs@ai21.com

April 2020

### http://arxiv.org/abs/2004.08900

• \$2.5k - \$50k (110 million parameter model) • \$10k - \$200k (340 million parameter model) • \$80k - \$1.6m (1.5 billion parameter model)



### TECH ARTIFICIAL INTELLIGENCE

# OpenAl's text-generating system GPT-3 is now spewing out 4.5 billion words a day

Robot-generated writing looks set to be the next big thing

By James Vincent | Mar 29, 2021, 8:24am EDT

https://www.theverge.com/2021/3/29/22356180/openai-gpt-3-text-generation-words-day



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### **Figure credits:**

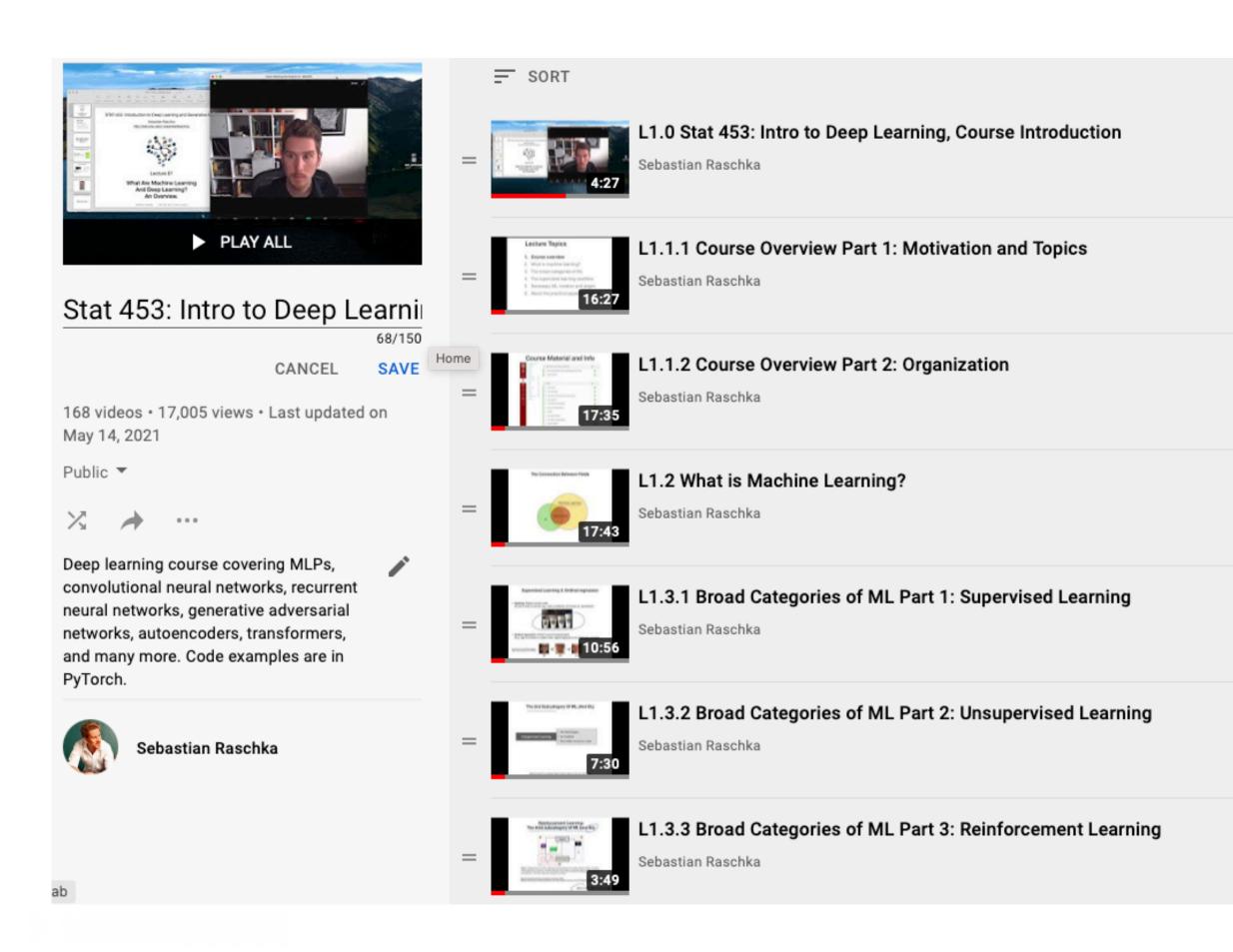
Python Machine Learning book chapter on Transformers by Jitian Zhao and Sebastian Raschka



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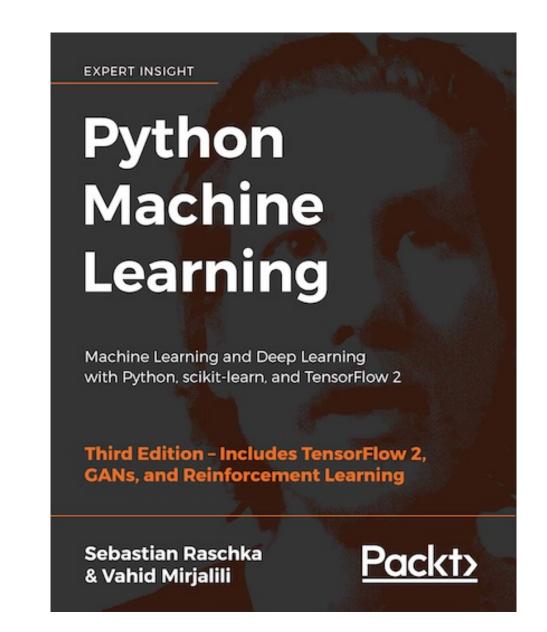


YouTube https://www.youtube.com/playlist?list=PLTKMiZHVd\_2KJtIXOW0zFhFfBaJJilH51

### https://sebastianraschka.com/blog/2021/dl-course.html



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https://sebastianraschka.com/books/





